

In view of the current guidance by the UK Government in response to the COVID-19 pandemic, members will not be permitted to attend the annual general meeting (AGM) in person at the society's offices. The meeting will instead be held remotely.

Although members will not be permitted to attend in person, they will be invited to join the remote meeting and the chair's presentation. If, as a member, you would like to join the meeting, please register on the [society's events page](#). The board would be happy to answer any questions you might have. Please submit them prior to the meeting to [agm@cfauk.org](mailto:agm@cfauk.org) or, if time permits, use the chat facility within the AGM webinar.

Please note that, whilst we are pleased to offer a facility to attend the AGM by video conference for any member who registers to do so in advance, voting at the meeting will only be by proxy vote. All members are therefore encouraged to submit their proxy votes on the resolutions set out in the meeting notice to be received no later than 6.00pm on Friday 20<sup>th</sup> November 2020 via the online voting service [here](#). Further information about how to submit your proxy votes is set out in the notes to the meeting notice and in a separate communication sent to members setting out their personal login details.

### **CFA Society of the UK Notice of Annual General Meeting**

Notice is hereby given that the 20<sup>th</sup> annual general meeting of the CFA Society of the UK ("the society") will be held at 4<sup>th</sup> Floor, Minster House, 42 Mincing Lane, London EC3R 7AE and remotely via webinar on Tuesday 24 November 2020 at 6.00pm for the following purposes:

#### **Ordinary business**

To consider, and, if thought fit, to pass the following resolutions 1 to 9 (inclusive), each of which will be proposed as an ordinary resolution:

1. **THAT** the society's annual accounts for the financial year ended 30 June 2020 together with the last directors' report and auditors' report on those accounts be received and adopted.
2. **THAT** Hilary Eastman, CFA who will retire under article 55 of the society's articles of association be reappointed to the board.
3. **THAT** Gillian Elcock, who will retire under article 55 of the society's articles of association be reappointed to the board.
4. **THAT** Daniel Murray, CFA who will retire under article 55 of the society's articles of association be reappointed to the board to hold office until the conclusion of the next annual general meeting.
5. **THAT** Tim Nuding, CFA who will retire under article 55 of the society's articles of association be reappointed to the board.
6. **THAT** Helen Thomas, CFA who will retire under article 55 of the society's articles of association be reappointed to the board.
7. **THAT** David Zahn, CFA in accordance with article 55 of the society's articles of association be reappointed to the board.
8. **THAT** Kieran Ferguson, CFA in accordance with article 53 of the society's articles of association be appointed to the board.
9. **THAT** Crowe LLP be reappointed as auditors to hold office from the conclusion of the meeting to the conclusion of the next meeting at which the accounts are laid before the society at a remuneration to be determined by the directors of the society.

#### **Special business**

To consider, and, if thought fit, to pass the following resolution 10 which will be proposed as a special resolution:

10. **THAT** the articles of association produced to the meeting and initialled by the chair of the meeting for the purposes of identification, be adopted as the articles of association of the society in substitution for and to the exclusion of all existing articles of association.

By order of the board  
**B C Young**  
Company Secretary  
CFA Society of the UK

**Notes:**

- 1. In light of the UK Government's current COVID-19 guidance in relation to public gatherings, and the new regulations set out in Schedule 14 of the Corporate Insolvency and Governance Act 2020, the board has made the decision that the AGM will be held as a remote meeting in accordance with the provisions of Schedule 14 of the Corporate Insolvency and Governance Act 2020. As such, for the safety and security of all involved, members and their proxies are unable to attend the AGM in person at the society's offices. However, members may register to attend the meeting remotely by registering [here](#). No voting on a show of hands will be facilitated during the remote meeting and, in light of this, you are strongly advised to appoint the chair of the meeting as your proxy to ensure that your vote is counted. Please submit your proxy vote by 6.00pm on 20 November 2020 via the electronic voting website. Details of how members can log in to the electronic voting website have been emailed separately.**
- 2. Subject to the arrangements described in note 1, a member of the society entitled to attend and vote at the meeting convened by this notice is entitled to appoint another person as his or her proxy to exercise all or any of his or her rights to attend and to speak and vote in his or her place at the meeting. His or her proxy need not be a member of the society.**
- 3. If a member wishes to appoint a proxy, they may appoint the chair of the meeting via the voting website (as described in the covering letter to this Notice of AGM) no later than 48 hours before the time of the meeting convened by this notice (excluding weekends and public holidays).**
- 4. Subject to the arrangements described in note 1, appointment of a proxy will not preclude a voting member from attending the AGM and voting in person.**
- 5. Unless otherwise instructed, your proxy may vote as he/she sees fit, or abstain from voting.**