

In view of the current situation with the COVID-19 pandemic and to assist members who prefer to attend remotely, we have made arrangements for a hybrid annual general meeting (AGM) where members can choose to attend the meeting live via video conferencing or in person. Real-time voting will also be facilitated and open to all, whether they attend remotely or in-person. Proxy voting arrangements are also in place in advance of the meeting. For more information on registering for the AGM and for voting in advance or at the meeting itself, please refer to the notes which accompany this notice.

CFA Society of the UK Notice of Annual General Meeting

Notice is hereby given that the 21st Annual General Meeting of the CFA Society of the UK ("the society") will be held by video conferencing and at Chartered Accountants Hall, One Moorgate Place, London EC2R 6EA on Tuesday 23 November 2021 at 6.00pm for the following purposes:

Ordinary business

To consider, and, if thought fit, to pass the following resolutions 1 to 6 (inclusive), each of which will be proposed as an ordinary resolution:

1. **THAT** the society's annual report and financial statements for the financial year ended 30 June 2021 together with the directors' reports and auditors' report on those accounts be received and adopted.
2. **THAT** Weiyeen Hung, CFA in accordance with Article 55 of the society's Articles of Association be reappointed to the Board.
3. **THAT** Sylvia Solomon, ASIP in accordance with Article 53 of the society's Articles of Association be appointed to the Board.
4. **THAT** Philippe Lenoble, CFA in accordance with Article 53 of the society's Articles of Association be appointed to the Board.
5. **THAT** Crowe LLP be reappointed as auditors to hold office from the conclusion of the meeting to the conclusion of the next meeting at which the accounts are laid before the society.
6. **THAT** authority to determine the auditors' remuneration be granted to the directors of the society.

Special business

To consider, and, if thought fit, to pass the following resolution 7 which will be proposed as a special resolution:

7. **THAT** the Society's articles of association be amended with immediate effect by:
 - a. insertion of a new sub-article 1.1.5 as set out below and the renumbering of existing sub-articles 1.1.5 to 1.1.41 inclusive:

"ASIP" Means the ASIP designation which is a service mark of the Society that Members who passed the Associate examinations of the Institute of Investment Management and Research and the UK Society of Investment Professionals may use as a professional designation;
 - b. insertion of the words 'and/or the ASIP designation' immediately before the words 'and be named in membership lists' in article 21.

By order of the Board

B C Young
on behalf of the Corporate Secretary of
CFA Society of the UK

18 October 2021

Registered office: 4th Floor, Minster House, 42 Mincing Lane, London EC3R 7AE

Notes:

1. Attendance in person - the AGM will be held at Chartered Accountants Hall, One Moorgate Place, London EC2R 6EA. If you wish to attend the meeting in person, please register on the Society's events page [here](#). The Chairman has indicated that he intends to call a poll on all resolutions which will be conducted using an on-line voting facility. Please see note 3 below.
2. Remote attendance - if you wish to attend the meeting remotely via video conference, please register on the Society's events page [here](#). You must pre-register so that the access code to the video conferencing can be provided to you before the AGM. To vote whilst attending the meeting remotely, please see note 3 below.
3. Voting – voting can be done in advance or during the AGM by attendees. All votes, whether submitted in advance or digitally at the meeting, will be counted. Advance voting by proxy will close at 6.00pm on Friday 19th November 2021, being 48 hours (excluding weekends and public holidays) before the start of the meeting.
 - a. Voting in advance - you have been allocated a confidential unique code for advance voting purposes. To submit your vote in advance please follow the link to the voting website which was emailed to you. The deadline for voting in advance by proxy is 6.00pm on Friday 19th November 2021.
 - b. Voting at the meeting – a link and unique code will be emailed to all members before the meeting. To submit your vote during the meeting you must have access to a smart device with internet access at the time of the meeting. If you attend the meeting in person and will not have access to a smart device please submit your vote in advance as otherwise there will be no way to count your vote at the meeting. Voting will be open from 6pm on Tuesday 23rd November 2021 until 6.15pm. If you submit your vote or proxy in advance of the meeting you may still vote during the meeting – your most recent vote will supersede any previously submitted votes.
4. A member of the society entitled to attend and vote at the meeting convened by this notice is entitled to appoint another person as his or her proxy to exercise all or any of his or her rights to attend and to speak and vote in his or her place at the meeting. His or her proxy need not be a member of the society. If a member wishes to appoint a proxy, please to do so by electronic vote (as described in note 3 above) no later than 48 hours (excluding weekends and public holidays) before the time of the meeting convened by this notice. Appointment of a proxy will not preclude a voting member from attending the AGM and voting in person. If you do attend the AGM in person, your proxy appointment will be automatically terminated. Unless otherwise instructed, your proxy may vote as he/she sees fit, or abstain from voting.