



Codes, Standards & Regulations (“CSR”) – Working Group

No of new member volunteers:	Up to 10
Time frame:	April – September 2018
Commitment:	15 – 20 hours across the timeframe
Reports to:	Professionalism

Over the last decade, regulators and firms around the world have been striving to improve and optimise the framework describing expected employee conduct and behaviour in financial markets.

This working group will look at the respective roles which codes, standards and regulations should play in providing this framework. Lessons might be drawn from past failures and successes both within financial services and in other industries or walks of life. The group’s solution should posture to work in a global context, taking account of the limitations of national regulators and allowing for the challenge and opportunity of human cultural diversity.

The purpose and activity of this working group is to:

- Define and explain the different respective roles of, and interactions between, codes, standards and regulations
- Explain why codes, standards and regulations are all needed in financial services
- Seek feedback from members’ own networks and CFA contacts
- Publish a short paper based on its framework and findings
- Create a launch event and webcast to publicise the paper

What will you get out of it?

- Training in Group Working
- 12+ hour CPD for contribution to the investment profession
- Increased network building
- Insight into key industry development
- Access to senior stakeholders
- Invite to Annual Volunteer Reception & recognition at AGM and within our Board

If you are interested in applying for this opportunity, please download the Volunteer Application form and return it along with a copy of your CV to volunteer@cfauk.org

Deadline: Tuesday 15th May 2018