

CFA UK ETHICS SURVEY 2016

INTEGRITY & ACTING IN THE BEST INTEREST OF CLIENTS

CFA UK asked members about the challenges they face around standards of ethical and professional behaviour

CODE AND STANDARDS

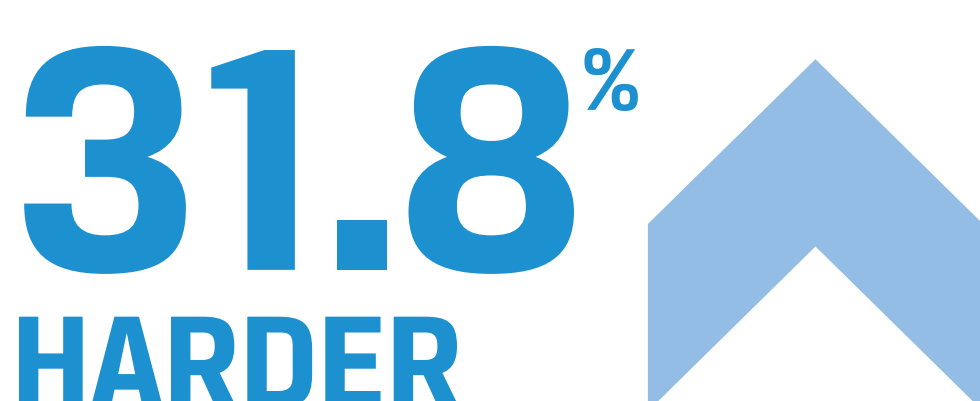
The level of difficulty respondents assign to adhering to the Code and Standards has risen this year

Percentage of CFA UK member that think about the Code and Standards often



PERSONAL CHALLENGES:

Investment analysis, recommendations & actions



Duties to clients



CHALLENGING AREAS FOR THE PROFESSION:

Investment analysis, recommendations and actions

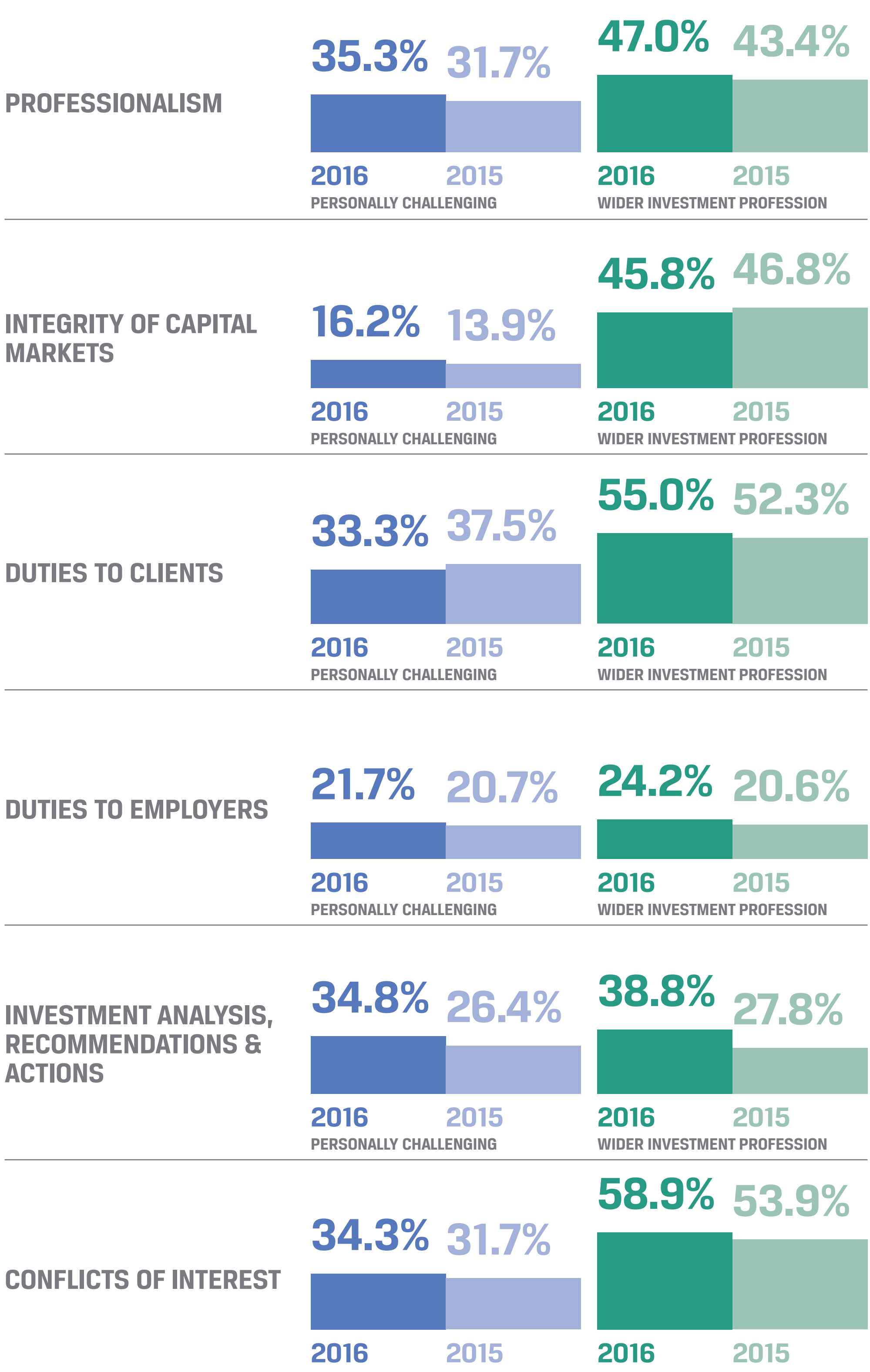


Conflicts of interest

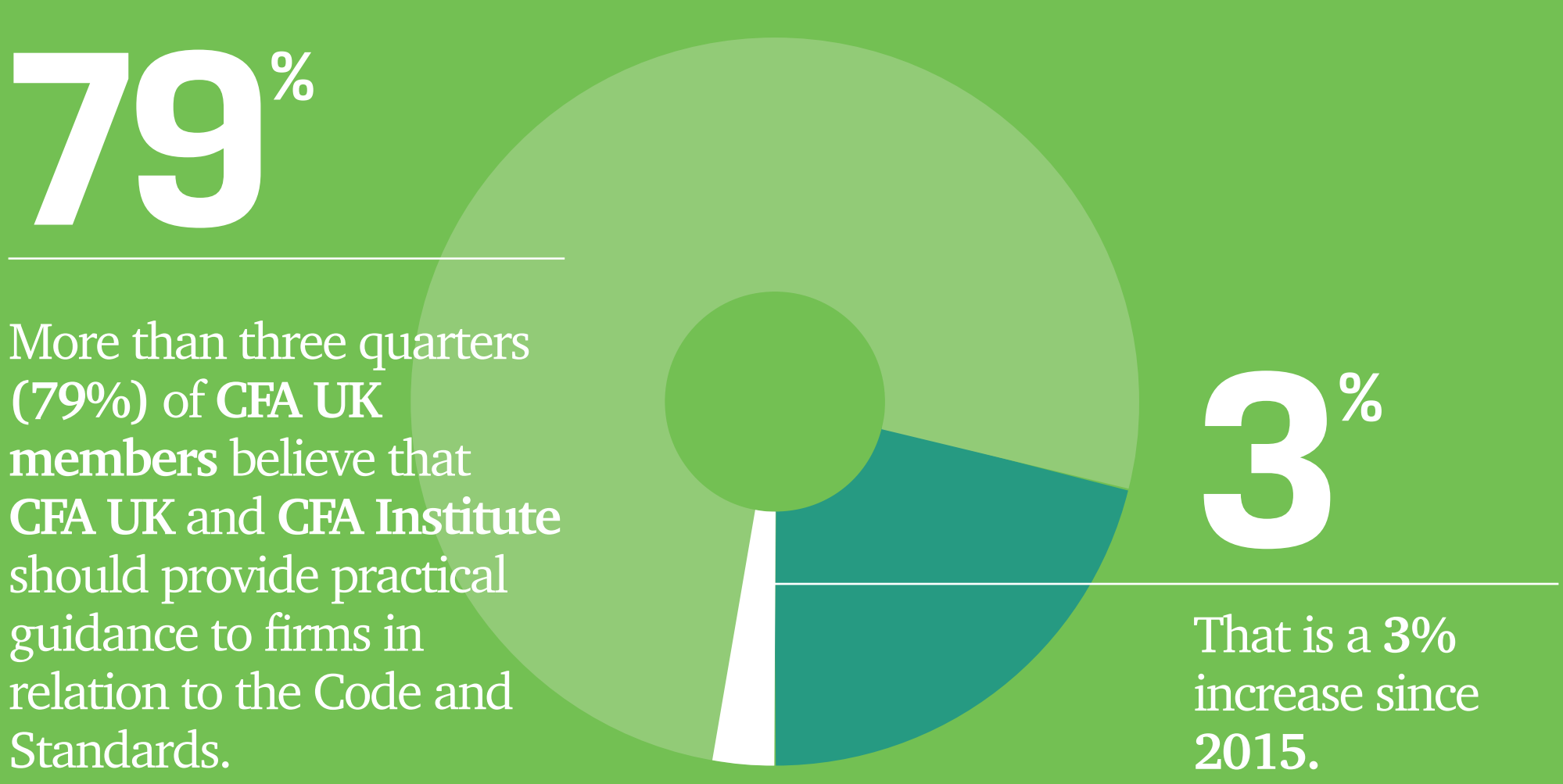


ETHICAL CHALLENGES

CFA UK members identify the challenges to adhering to ethical and professional standards more frequently this year. They perceive these challenges personally and for the profession as a whole.



ADVICE AND TRAINING



Areas that members think CFA UK should provide most guidance are:



Source: The CFA UK Annual Ethics and Professionalism Survey ran in April 2016 and was completed by 557 CFA UK members.

For more information on the Code and Standards and building these into your firm visit www.cfainstitute.org/ethics